



Charles Brittain

# Ordinary (Restricted) Investor

## Ordinary (Restricted) Investor Statement

I make this statement so that I can receive promotional communications relating to non-readily realisable securities as a restricted investor. I declare that I qualify as a restricted investor because both of the following apply:

- ☐ In the preceding twelve months, I have not invested more than 10% of my net assets in non-readily realisable securities.
- ☐ I undertake that in the following twelve months, I will not invest more than 10% of my net assets in non-readily realisable securities.

## Net assets for these purposes do not include:

- (a) the property which is my primary residence or any money raised through a loan secured on that property;
- (b) any rights of mine under a qualifying contract of insurance; or
- (c) any benefits (in the form of pensions or otherwise) which are payable on the termination of my service or on my death or retirement and to which I am (or my dependants are), or may be, entitled.

## Declaration

- ☐ I accept that the investments to which the promotions will relate may expose me to a significant risk of losing all of the money or other property invested.\*
- ☐ I am aware that it is open to me to seek advice from an authorised person who specialises in advising on non-readily realisable securities.\*
- ☐ I agree to The Companies offering the investments keeping a record of these declarations and providing it to the FCA in event of an investigation.\*

**\* You must agree to this statement**

Full Name :.....

Signature :..... Date (dd/mm/yyyy):.....